

Texas Peer Assistance Program for Nurses

FY 2007 1st QUARTER REPORT

TO

THE BOARD OF NURSE EXAMINERS

FOR THE STATE OF TEXAS

December 15, 2006

This report answers the following six questions for the time period of September 1, 2006 through November 30, 2006 for all data and outcome trends.

- ◆ Is the program working?
- ◆ What outcome trends are evident?
- ◆ Is the program compliant?
- ◆ Is the program fiscally responsible?
- ◆ Is the program well managed? And
- ◆ What requests does TPAPN have of the BNE BOD?

IS THE PROGRAM WORKING?

Significant outcomes for the program's case management and outreach activities are reported in this section.

All numbers reported in tables 1 and 2 below are derived from the cohort of *individual* RNs and LVNs referred to TPAPN during the most recently completed first (1st) quarter of the 2007 fiscal year. Updated numbers from the previous fourth (4th) quarter are provided for sake of comparison. *Outcome totals for nurses signing participation agreements and return-to-work agreements are likely to be incomplete as the process of participation and return to work (in nursing practice) – as well as complete data entry into the computer database – may take longer than the time period being reported.*

A. Case Management Performance:

Evidence that RNs and LVNs are working well under TPAPN is demonstrated by the following outcomes for the quarter just completed – and as compared to the previous quarter – See two (2) tables below.

TABLE 1

RN Totals for 1st Qtr, FY 2007 and 4th Qtr, FY 2006								
Quarter	Referred		Signed Participation		Returned to Work		Completed	
	1st	4th	1st	4th	1st	4th	1st	4th
RNs	107	105	23	47	12	28	22	24
RN Board Orders	27	30	8	17	5	7	1	0
APNs	6	4	3	3	2	2	1	0
APN Board Orders	0	0	0	0	0	0	0	0
TOTAL	140	139	34	67	19	37	24	24

TABLE 2

LVN Totals 1st Qtr, FY 2007 and 4th Qtr, FY 2006								
Quarter	Referred		Signed Participation		Returned to Work		Completed	
	1st	4th	1st	4th	1st	4th	1st	4th
LVNs	39	62	15	19	9	14	7	8
LVN Board Orders	14	22	5	13	2	8	1	0
TOTAL	53	84	20	32	11	22	8	8

B. Case/Call Activity

Total Active Cases (RNs and LVNs):

- 628 – The total number represents TPAPN’s average, daily census for the quarter. It includes RNs and LVNs referred to TPAPN who have signed or have yet to sign participation agreements and have not been dismissed from TPAPN.

Total Telephone Calls Taken via TPAPN’s Dedicated Toll Free Line:

- 7,033 – this does not include local/metro calls and long distance calls received nor outbound calls made by TPAPN staff.

C. Outreach Efforts:

Advocate Activities:

- 3 new advocate applications were received during the quarter.
- 176 attendees were reached via eleven, Type 1, Nursing CE offerings presented by TPAPN’s volunteer nurse advocates.

Staff Activities:

Workshops

- 54 attendees at TPAPN’s Advocate Workshop, October 6th and 7th including 11 new advocates.
- TPAPN Intake/Outreach Coordinator, Debbie Dysart, presented on domestic violence and substance abuse at the fall conference of the Licensed Vocational Nurses Association of Texas (LVNAT) 11/27/06.
- TPAPN’s Program Director presented on Addictions in Nursing at the LVNAT Conference.

Exhibits

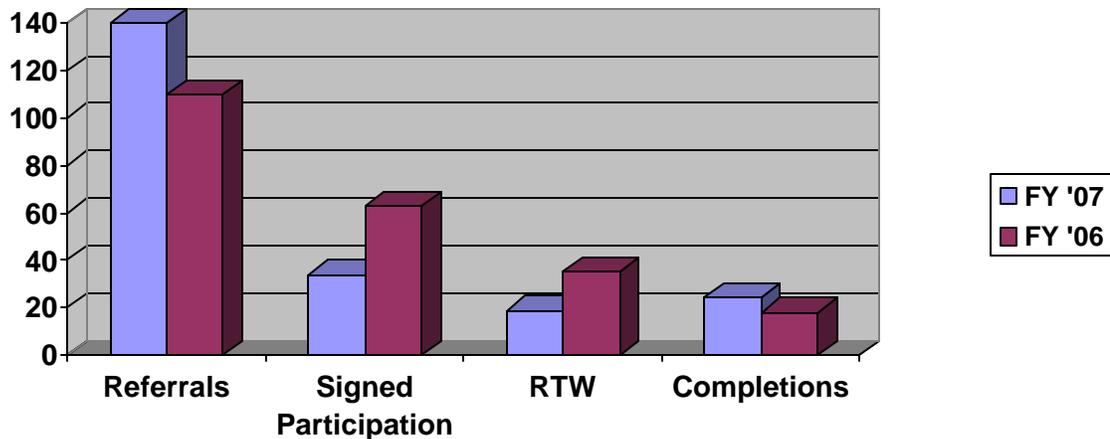
- License Vocational Nurses Association of Texas: October 27, Austin, TX.
- Nursing Education Policy Coalition (NEPC) & Deans and Directors meeting: September 21 & 22, Austin, TX
- Texas Nurses Association: Leadership Conference, September 13- 15, Austin, TX

WHAT OUTCOME TRENDS ARE EVIDENT FOR RNs?

A comparison of RN outcomes occurring in the 1st Qtr FY '07 and 1st Qtr FY '06 (also the same as year-to-date comparison numbers) is provided in the table and graphic below. Referrals increased in all categories except “APN Board Orders” for RNs. The numbers provided are for individual nurses referred, participating and returning to work. No duplicate events have been counted. Numbers signing participation and return to work agreements are incomplete given the lag time in signing, though the number of RNs successfully completing TPAPN increased considerably compared to 1st quarter of '06.

RN Totals 1st Quarter FY 2007 to 1st Quarter FY 2006 (YTD)								
	Referred		Signed Participation		RTW		Completed	
FY	'07	'06	'07	'06	'07	'06	'07	'06
RNs	107	82	23	52	12	27	22	18
RN Board Orders	27	22	8	9	5	6	1	0
APNs	6	5	3	2	2	2	1	0
APN Board Orders	0	1	0	0	0	0	0	0
TOTAL	140	110	34	63	19	35	24	18

RNs 1st Quarter FY 2007 vs. 1st Quarter FY 2006 (YTD)

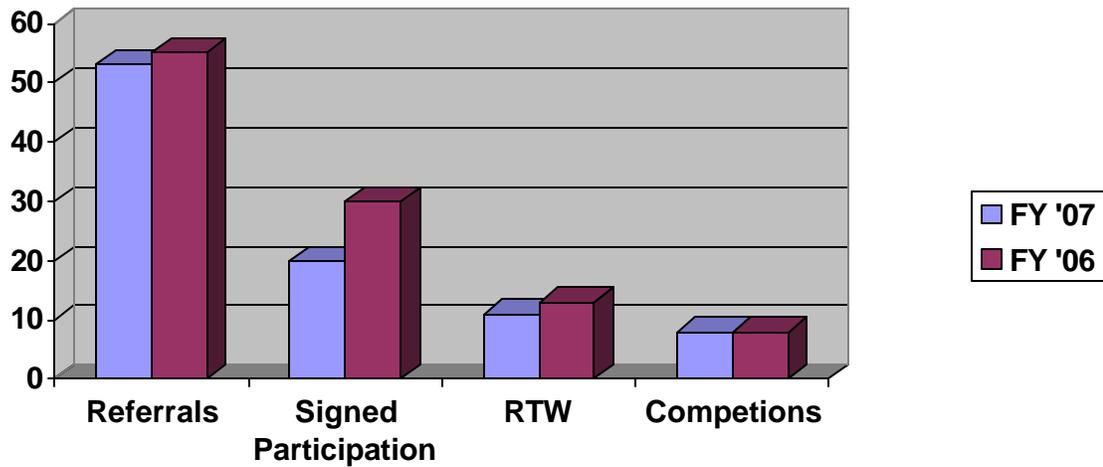


WHAT OUTCOME TRENDS ARE EVIDENT FOR LVNs?

A comparison of LVN outcomes occurring in the 1st Qtr FY '07 and 1st Qtr FY '06 (also same as year-to-date comparison numbers) is provided in the table and graph below. Total LVN referrals decreased some compared to same time period last year (53 v. 55). As with the RN numbers due to the lag time in nurses signing and data entry it is too early to make any reasonable conclusions regarding LVNs signing participation, return to work agreements. However, the number of LVNs completing for the quarter were identical to the number who completed in the 1st quarter of 2006.

LVN Totals 1 st Quarter FY 2007 to 1 st Quarter FY 2006 (YTD)								
FY	Referred		Signed Participation		Returned to Work		Completed	
	'07	'06	'07	'06	'07	'06	'07	'06
LVNs	39	46	15	26	9	10	7	8
LVN Board Orders	14	9	5	4	2	3	1	0
TOTAL	53	55	20	30	11	13	8	8

LVNs 1st Quarter FY 2007 vs. 1st Quarter FY 2006 (YTD)



IS THE PROGRAM COMPLIANT?

TPAPN demonstrates legal and programmatic compliance by:

- Addressing Sunset Advisory Commission issues and recommendations (Staff Report, September 2006) concerning peer assistance for response by the Texas Nurses Association and sponsoring organizations of TPAPN.

IS THE PROGRAM FISCALLY RESPONSIBLE?

TPAPN demonstrates fiscal responsibility by:

- Attending the Joint Budget Hearing for the Board of Nurse Examiners held 9/7/06.
- Yielding a negative net loss of approximately \$26,000 for the income statement ending 11/30/06. The net loss is in part due to the fact that TPAPN receives its full capped amount from the BNE by early July. Given that TPAPN's (Texas Nurses Foundation's) fiscal year runs July 1 – June 30 this results in deficit amounts for the better half of TPAPN's fiscal year. The balance and income statements for September, October and November 2006 are included as **Attachment A**, 9 pages total.
- However, TPAPN's actual v. budgeted net loss as of the end of November 2006 is roughly 60% of the loss TPAPN experienced by the end of November in 2005. The decrease net loss for the current FY is the result of TPAPN having realized more income from the BNE as well as realizing more income from non-board fees, e.g., board order and program participation fees.
- Submitting a revised budget for FY 2007, **Attachment B**, 4 pages, that was approved by the Texas Nurses Foundation at its regularly scheduled business meeting in October. The revised budget better accounts for program income realized as of the end of TPAPN's 2006 FY.

IS THE PROGRAM WELL-MANAGED?

TPAPN demonstrates that it is well-managed by:

- Working on legal and employer FAQ revisions as provided by TPAPN's Employer Liability Task Force and continuing to work on program FAQs in preparation for expected review by the task force sometime in early '07.
- Working with the BNE to resolve data discrepancies as reported in the Sunset Commission report. Discrepancies were primarily due to the fact that TPAPN gave numbers based on individual participants only while the BNE's number were based on events that may have repeated for any number of participants, e.g., number of times an individual was referred to TPAPN.
- Meeting on 10/11/06 with Simon Whiting, J. D., as legal consultant for TPAPN, to ensure that internal risk management issues are being addressed appropriately.
- Holding a regularly scheduled meeting of the TPAPN Advisory Committee on 10/27/06.
- Attending the BNE's Eligibility and Discipline Task Force 11/18/06 meeting in which the Program Director gave a short presentation on substance-use disorders and nurses.
- Attending the BNE's regularly scheduled board meeting on 11/19/06.
- Once again, TPAPN has had to address turnover of support staff (case manager assistants - CMAs). During the first quarter of 2006 three temp-to-hire employees left either due to personal reasons or for failing to meet necessary job expectations.

- Current support staff include: Amira Lagerstrom who was promoted to CMA II at the beginning of November, Katy Davila who was hired as a CMA in a “temp-to-hire” position at the end of October and Michelle McClellan who began on 11/8/06 as half-time data entry clerk.
- With revised budget approval, Becki Drozd, RN, LCDC, was hired as TPAPN’s fifth nurse case manager. She will work 32 hours/week in order to help ease case management workload needs.
- Beginning interviews for a new case manager to fill the vacancy created by Terree Wozny’s resignation effective the end of December. Terree had done an admirable job for TPAPN for over seven years including fulfilling the extended duties as Case Manager Coordinator since February 2002.
- Seeing through the final phase of the office remodel that was begun in May of this year for TNA and all of its affiliate organizations.
- Hosting presentations from representatives of treatment centers: Cottonwood de Tucson, Tucson, AZ and Mission Vista, San Antonio on 11/16/06 and 11/17/06 respectively.

WHAT REQUESTS OF THE BNE DOES TPAPN HAVE?

- TPAPN has no requests of the BNE at this time.

Texas Peer Assistance Program for Nurses

OVERVIEW

TPAPN operates under the Texas Nurses Foundation as an alternative to discipline for licensed RNs and LVNs of Texas whose nursing practice may be impaired due to chemical dependency or certain mental illnesses. TPAPN began operations on March 1, 1987 as approved peer assistance under Chapter 467 of the Texas Health and Safety Code.

As an alternative program that satisfies mandatory reporting requirements of Texas nurses, TPAPN has been able to save more than 1,800 nurses and return them to safe practice. TPAPN provides 24 hours a day, 7 days a week, confidential assistance services for nurses who otherwise may not have sought or been given assistance.

Nurses participating in TPAPN must obtain appropriate assessment, treatment, random drug screening and abide by practice restrictions and other terms of participation to ensure their continued good recovery. While participation in TPAPN is voluntary, a nurse who refuses to participate or who is dismissed from the program will be referred to the appropriate licensing board for disposition per TPAPN’s policies. Through advocacy, education, opportunity and monitoring, TPAPN protects the public and helps to reclaim the careers and lives of Texas’ nurses.

MISSION:

- 1) Offer nurses life-renewing opportunities for recovery from chemical dependency and mental illness;
- 2) Integrate nurses back into the profession;
- 3) Protect the public; and
- 4) Promote professional accountability.

TNF - TPAPN
BALANCE SHEET
SEPTEMBER 30, 2006

Attachment A

ASSETS

CASH & CASH EQUIVALENTS			
11100	WELLS FARGO 3856-OPERATING	\$ 62,408.65	
11300	S/W SECURITIES-GOV'T FUND	152,054.34	
	TOTAL CASH & CASH EQUIVALENTS		214,462.99
INVESTMENTS			
11700	S/W SECURITIES-C D	95,000.00	
	TOTAL INVESTMENTS		95,000.00
ACCOUNTS RECEIVABLES			
12700	BOARD OF NURSE EXAMINERS	66,900.00	
13000	A/R-TNF	86.25	
13200	A/R OTHERS	6,704.40	
	TOTAL ACCOUNTS RECEIVABLE		73,690.65
PREPAIDS			
	TOTAL PREPAIDS		0.00
	TOTAL CURRENT ASSETS		383,153.64
PROPERTY AND EQUIPMENT			
15000	FURNITURE & EQUIPMENT	90,078.85	
15100	ACCUMULATED DEPRECIATION	(90,078.85)	
	TOTAL PROPERTY AND EQUIPMENT		0.00
	TOTAL ASSETS		\$ 383,153.64

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES			
20800	A/P-TNA	\$ 2,368.39	
20900	A/P-MONTH END	1,727.00	
21500	ACCRUED EXPENSES	31,689.00	
21600	VOIDED CHECKS	405.17	
	TOTAL CURRENT LIABILITIES		36,189.56
NET ASSETS			
29400	BOD DESIGNATED:LEGAL/INS	40,000.00	
30200	WIND-DOWN:AVAILABLE	216,000.00	
30400	RELEASED FR LEGAL	58,278.50	
30500	UNDESIGNATED	84,719.32	
	NET INCOME OF <LOSS> TO DATE	(52,033.74)	
	TOTAL NET ASSETS		346,964.08
	TOTAL LIABILITIES & NET ASSETS		\$ 383,153.64

UNAUDITED

TNF - TPAPN
Income Statement
For the Three Months Ending September 30, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
Income					
40200 BOARD OF NURSE EXAMI	\$ 66,900.00	\$ 52,083.33	\$ 75,979.00	\$ 156,249.99	(80,270.99)
40600 INTEREST	3,626.33	583.33	4,488.52	1,750.03	2,738.49
40700 DONATIONS	0.00	41.67	0.00	125.01	(125.01)
40900 VERIFICATIONS	45.00	50.00	180.00	150.00	30.00
41000 SPONSORS	450.00	416.63	450.00	1,249.97	(799.97)
41100 NCPS, INC. - SPONSORS	0.00	291.67	0.00	874.97	(874.97)
41200 PARTIC FEE:RN-BD ORDE	5,000.00	3,083.37	14,150.00	9,250.03	4,899.97
41300 PARTIC FEE:RN/LVN CD	9,746.00	8,333.37	29,733.00	25,000.03	4,732.97
41400 PARTIC FEE:RN-MI	50.00	316.63	1,250.00	949.97	300.03
41500 PARTIC FEE:LVN-BD ORD	1,400.00	1,333.33	5,950.00	4,000.03	1,949.97
41700 PARTIC FEE:LVN-MI	50.00	50.00	200.00	150.00	50.00
42500 EMPLOYER W/S-INCOME	350.00	166.63	350.00	499.97	(149.97)
42900 EXHIBITS	0.00	25.00	0.00	75.00	(75.00)
43200 CARE VIDEO	0.00	5.00	40.00	15.00	25.00
43400 INFORMATION MATERIAL	0.00	4.13	0.00	12.47	(12.47)
43500 EMPLOYER INSERVICE	0.00	12.50	0.00	37.50	(37.50)
Total Income	87,617.33	66,796.59	132,770.52	200,389.97	(67,619.45)
Expenses					
50500 COMPENSATION	33,165.10	37,861.92	100,934.13	113,585.72	(12,651.59)
50800 HLTH/LIFE INSURANCE	2,565.04	3,000.00	7,695.12	9,000.00	(1,304.88)
50900 W C INSURANCE	0.00	208.33	0.00	624.99	(624.99)
51000 DENTAL EXP	82.00	291.67	647.00	875.01	(228.01)
51500 PAYROLL TAXES	2,674.97	3,655.83	8,833.89	10,967.53	(2,133.64)
51700 401K	1,745.21	2,125.00	4,984.90	6,375.00	(1,390.10)
51900 TEMPS/AGENCIES	4,072.05	0.00	11,388.49	0.00	11,388.49
52000 PROFESSIONAL DEVELOP	900.00	333.33	1,825.00	1,000.03	824.97
52500 STAFF TRAV/EXP	672.10	208.37	1,246.10	625.03	621.07
Total	45,876.47	47,684.45	137,554.63	143,053.31	(5,498.68)
54500 LEGAL CONSULTANTS	0.00	416.67	0.00	1,249.97	(1,249.97)
54800 DATABASE UPGRADE	0.00	166.67	0.00	500.01	(500.01)
Total	0.00	583.34	0.00	1,749.98	(1,749.98)
56000 OFFICE SPACE	4,356.00	4,356.25	13,068.00	13,068.75	(0.75)
56200 REIMB FOR STAFF TIME	3,500.00	3,675.00	10,500.00	11,025.00	(525.00)
56400 FINANCIAL AUDIT	0.00	250.00	0.00	750.00	(750.00)
56600 BNE PERFORMANCE AUD	0.00	166.67	2,000.00	500.01	1,499.99
56700 MATERIALS-OTH GROUPS	0.00	8.33	0.00	24.99	(24.99)
56800 TELEPHONE	1,073.09	1,250.00	2,868.72	3,750.00	(881.28)
56900 EQUIP LEASE	301.00	333.33	903.00	1,000.03	(97.03)
57000 POSTAGE	437.22	583.33	2,013.30	1,749.99	263.31
57100 SHIPPING/DELIVERY/	0.00	25.00	0.00	75.00	(75.00)
57200 DUPLICATING	905.92	500.00	1,710.72	1,500.00	210.72
57300 BANK CHARGES	0.00	16.67	88.00	50.01	37.99
57400 SUPPLIES	0.00	416.67	1,055.63	1,250.01	(194.38)
57500 EQUIP/FURNITURE	828.00	333.33	828.00	999.99	(171.99)
57600 DEPRECIATION	0.00	41.67	0.00	125.01	(125.01)
57700 INFORMATION TECHNLO	170.00	500.00	1,507.00	1,500.00	7.00
57800 EQUIP MAINTENANCE	0.00	41.67	42.51	125.01	(82.50)
58000 MISCELLANEOUS	71.23	41.67	222.30	125.01	97.29
58100 INSUR/LEGAL DEFENSE F	0.00	375.00	0.00	1,125.00	(1,125.00)
58600 RESOURCE LIBRARY	98.39	41.67	98.39	125.01	(26.62)

Unaudited

TNF - TPAPN
Income Statement
For the Three Months Ending September 30, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
59600 COMMITTEES & BOARDS	0.00	250.00	(86.25)	750.00	(836.25)
Total	11,740.85	13,206.26	36,819.32	39,618.82	(2,799.50)
60200 ADVOCATES EXPENSES	0.00	41.63	102.70	124.97	(22.27)
60400 ADVOCATES TRAINING	0.00	20.83	0.00	62.49	(62.49)
60600 FALL W/S - ADVOCATES	8.97	583.33	467.45	1,750.03	(1,282.58)
61000 SPRING W/S - ADVOCATE	0.00	750.00	0.00	2,250.00	(2,250.00)
Total	8.97	1,395.79	570.15	4,187.49	(3,617.34)
62200 WEBCENTRIC	3,041.60	2,766.67	9,244.80	8,299.97	944.83
62300 CASE MANAGERS TRAVE	0.00	20.83	0.00	62.49	(62.49)
62400 PROGRAM MATERIALS	297.39	291.63	297.39	874.97	(577.58)
Total	3,338.99	3,079.13	9,542.19	9,237.43	304.76
63500 EMPLOYER INSERVICE	0.00	20.83	132.03	62.53	69.50
63800 EMPLOYER WORKSHOPS	0.00	125.00	91.78	375.00	(283.22)
64700 NRSG SCHOOLS WORKSH	0.00	83.33	0.00	250.03	(250.03)
64900 NRSG SCHOOLS-EDUC M	0.00	41.63	0.00	124.97	(124.97)
Total	0.00	270.79	223.81	812.53	(588.72)
68400 EDUC-MATERIALS/BROC	94.16	250.00	94.16	750.00	(655.84)
68500 ED-VIDEOS-PRDCN & RE	0.00	33.33	0.00	100.03	(100.03)
68600 EDUC-NEWSLETTER	0.00	41.67	0.00	124.97	(124.97)
68700 ED PROD-RESEARCH-RES	0.00	25.00	0.00	75.00	(75.00)
68800 EDUC-EXHIBIT	0.00	41.67	0.00	124.97	(124.97)
Total	94.16	391.67	94.16	1,174.97	(1,080.81)
Total Expenses	61,059.44	66,611.43	184,804.26	199,834.53	(15,030.27)
Net Income or Loss	\$ 26,557.89	\$ 185.16	\$ (52,033.74)	\$ 555.44	(52,589.18)

TNF - TPAPN
BALANCE SHEET
OCTOBER 31, 2006

ASSETS

CASH & CASH EQUIVALENTS			
11100	WELLS FARGO 3856-OPERATING	\$	83,869.28
11300	S/W SECURITIES-GOV'T FUND		152,615.25
			<u>236,484.53</u>
TOTAL CASH & CASH EQUIVALENTS			
			236,484.53
INVESTMENTS			
11700	S/W SECURITIES-C D		94,947.09
			<u>94,947.09</u>
TOTAL INVESTMENTS			
			94,947.09
ACCOUNTS RECEIVABLES			
12700	BOARD OF NURSE EXAMINERS		70,470.00
13000	A/R-TNF		86.25
13200	A/R OTHERS		6,256.80
			<u>76,813.05</u>
TOTAL ACCOUNTS RECEIVABLE			
			76,813.05
PREPAIDS			
			<u>0.00</u>
TOTAL PREPAIDS			
			0.00
TOTAL CURRENT ASSETS			
			<u>408,244.67</u>
PROPERTY AND EQUIPMENT			
15000	FURNITURE & EQUIPMENT		90,078.85
15100	ACCUMULATED DEPRECIATION		(90,078.85)
			<u>0.00</u>
TOTAL PROPERTY AND EQUIPMENT			
			0.00
TOTAL ASSETS			
		\$	<u><u>408,244.67</u></u>

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES			
20800	A/P-TNA	\$	9,875.04
20900	A/P-MONTH END		10,690.00
21200	A/P-TNF		2,000.00
21500	ACCRUED EXPENSES		25,702.00
21600	VOIDED CHECKS		405.17
			<u>48,672.21</u>
TOTAL CURRENT LIABILITIES			
			48,672.21
NET ASSETS			
29400	BOD DESIGNATED:LEGAL/INS		40,000.00
30200	WIND-DOWN:AVAILABLE		216,000.00
30400	RELEASED FR LEGAL		58,278.50
30500	UNDESIGNATED		84,719.32
	NET INCOME OF <LOSS> TO DATE		(39,425.36)
			<u>359,572.46</u>
TOTAL NET ASSETS			
			359,572.46
TOTAL LIABILITIES & NET ASSETS			
		\$	<u><u>408,244.67</u></u>

UNAUDITED

TNF - TPAPN
Income Statement
For the Four Months Ending October 31, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
Income					
40200 BOARD OF NURSE EXAMI	\$ 70,470.00	\$ 52,083.33	\$ 146,449.00	\$ 208,333.32	(61,884.32)
40600 INTEREST	508.00	583.33	4,996.52	2,333.36	2,663.16
40700 DONATIONS	0.00	41.67	0.00	166.68	(166.68)
40900 VERIFICATIONS	0.00	50.00	180.00	200.00	(20.00)
41000 SPONSORS	300.00	416.67	750.00	1,666.64	(916.64)
41100 NCPS, INC. - SPONSORS	2,500.00	291.67	2,500.00	1,166.64	1,333.36
41200 PARTIC FEE:RN-BD ORDE	5,200.00	3,083.33	19,350.00	12,333.36	7,016.64
41300 PARTIC FEE:RN/LVN CD	9,108.00	8,333.33	38,841.00	33,333.36	5,507.64
41400 PARTIC FEE:RN-MI	675.00	316.67	1,925.00	1,266.64	658.36
41500 PARTIC FEE:LVN-BD ORD	1,400.00	1,333.33	7,350.00	5,333.36	2,016.64
41700 PARTIC FEE:LVN-MI	0.00	50.00	200.00	200.00	0.00
42500 EMPLOYER W/S-INCOME	0.00	166.67	350.00	666.64	(316.64)
42600 ADVOCATE W/S REG.	190.00	0.00	190.00	0.00	190.00
42900 EXHIBITS	0.00	25.00	0.00	100.00	(100.00)
43200 CARE VIDEO	0.00	5.00	40.00	20.00	20.00
43400 INFORMATION MATERIAL	15.00	4.17	15.00	16.64	(1.64)
43500 EMPLOYER INSERVICE	170.00	12.50	170.00	50.00	120.00
Total Income	90,536.00	66,796.67	223,306.52	267,186.64	(43,880.12)
Expenses					
50500 COMPENSATION	27,505.50	37,861.92	128,439.63	151,447.64	(23,008.01)
50800 HLTH/LIFE INSURANCE	4,077.04	3,000.00	11,772.16	12,000.00	(227.84)
50900 W C INSURANCE	0.00	208.33	0.00	833.32	(833.32)
51000 DENTAL EXP	100.00	291.67	747.00	1,166.68	(419.68)
51500 PAYROLL TAXES	1,375.05	3,655.83	10,208.94	14,623.36	(4,414.42)
51700 401K	1,813.40	2,125.00	6,798.30	8,500.00	(1,701.70)
51900 TEMPS/AGENCIES	5,211.72	0.00	16,600.21	0.00	16,600.21
52000 PROFESSIONAL DEVELOP	650.00	333.33	2,475.00	1,333.36	1,141.64
52500 STAFF TRAV/EXP	2,775.50	208.33	4,021.60	833.36	3,188.24
Total	43,508.21	47,684.41	181,062.84	190,737.72	(9,674.88)
54500 LEGAL CONSULTANTS	1,321.00	416.67	1,321.00	1,666.64	(345.64)
54800 DATABASE UPGRADE	0.00	166.67	0.00	666.68	(666.68)
Total	1,321.00	583.34	1,321.00	2,333.32	(1,012.32)
56000 OFFICE SPACE	4,356.00	4,356.25	17,424.00	17,425.00	(1.00)
56200 REIMB FOR STAFF TIME	3,500.00	3,675.00	14,000.00	14,700.00	(700.00)
56400 FINANCIAL AUDIT	2,000.00	250.00	2,000.00	1,000.00	1,000.00
56600 BNE PERFORMANCE AUD	0.00	166.67	2,000.00	666.68	1,333.32
56700 MATERIALS-OTH GROUPS	0.00	8.33	0.00	33.32	(33.32)
56800 TELEPHONE	939.03	1,250.00	3,807.75	5,000.00	(1,192.25)
56900 EQUIP LEASE	301.00	333.33	1,204.00	1,333.36	(129.36)
57000 POSTAGE	536.21	583.33	2,549.51	2,333.32	216.19
57100 SHIPPING/DELIVERY/	42.83	25.00	42.83	100.00	(57.17)
57200 DUPLICATING	718.66	500.00	2,429.38	2,000.00	429.38
57300 BANK CHARGES	0.00	16.67	88.00	66.68	21.32
57400 SUPPLIES	207.56	416.67	1,263.19	1,666.68	(403.49)
57500 EQUIP/FURNITURE	1,103.07	333.33	1,931.07	1,333.32	597.75
57600 DEPRECIATION	0.00	41.67	0.00	166.68	(166.68)
57700 INFORMATION TECHNLO	266.00	500.00	1,773.00	2,000.00	(227.00)
57800 EQUIP MAINTENANCE	0.00	41.67	42.51	166.68	(124.17)
58000 MISCELLANEOUS	44.48	41.67	266.78	166.68	100.10
58100 INSUR/LEGAL DEFENSE F	4,382.00	375.00	4,382.00	1,500.00	2,882.00

Unaudited

TNF - TPAPN
Income Statement
For the Four Months Ending October 31, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
58600 RESOURCE LIBRARY	0.00	41.67	98.39	166.68	(68.29)
59600 COMMITTEES & BOARDS	587.60	250.00	501.35	1,000.00	(498.65)
Total	18,984.44	13,206.26	55,803.76	52,825.08	2,978.68
60200 ADVOCATES EXPENSES	0.00	41.67	102.70	166.64	(63.94)
60400 ADVOCATES TRAINING	0.00	20.83	0.00	83.32	(83.32)
60600 FALL W/S - ADVOCATES	7,146.97	583.33	7,614.42	2,333.36	5,281.06
61000 SPRING W/S - ADVOCATE	0.00	750.00	0.00	3,000.00	(3,000.00)
Total	7,146.97	1,395.83	7,717.12	5,583.32	2,133.80
62200 WEBCENTRIC	2,851.20	2,766.67	12,096.00	11,066.64	1,029.36
62300 CASE MANAGERS TRAVE	0.00	20.83	0.00	83.32	(83.32)
62400 PROGRAM MATERIALS	4,115.80	291.67	4,413.19	1,166.64	3,246.55
Total	6,967.00	3,079.17	16,509.19	12,316.60	4,192.59
63500 EMPLOYER INSERVICE	0.00	20.83	132.03	83.36	48.67
63800 EMPLOYER WORKSHOPS	0.00	125.00	91.78	500.00	(408.22)
64700 NRSNG SCHOOLS WORKSH	0.00	83.33	0.00	333.36	(333.36)
64900 NRSNG SCHOOLS-EDUC M	0.00	41.67	0.00	166.64	(166.64)
Total	0.00	270.83	223.81	1,083.36	(859.55)
68400 EDUC-MATERIALS/BROC	0.00	250.00	94.16	1,000.00	(905.84)
68500 ED-VIDEOS-PRDCN & RE	0.00	33.33	0.00	133.36	(133.36)
68600 EDUC-NEWSLETTER	0.00	41.67	0.00	166.64	(166.64)
68700 ED PROD-RESEARCH-RES	0.00	25.00	0.00	100.00	(100.00)
68800 EDUC-EXHIBIT	0.00	41.67	0.00	166.64	(166.64)
Total	0.00	391.67	94.16	1,566.64	(1,472.48)
Total Expenses	77,927.62	66,611.51	262,731.88	266,446.04	(3,714.16)
Net Income or Loss	\$ 12,608.38	\$ 185.16	\$ (39,425.36)	\$ 740.60	(40,165.96)

TNF - TPAPN
BALANCE SHEET
NOVEMBER 30, 2006

ASSETS

CASH & CASH EQUIVALENTS			
11100	WELLS FARGO 3856-OPERATING	\$	72,512.92
11300	S/W SECURITIES-GOV'T FUND		58,040.98
			<u>110,553.90</u>
	TOTAL CASH & CASH EQUIVALENTS		130,553.90
INVESTMENTS			
11700	S/W SECURITIES-C D		189,900.06
			<u>189,900.06</u>
	TOTAL INVESTMENTS		189,900.06
ACCOUNTS RECEIVABLES			
12700	BOARD OF NURSE EXAMINERS		71,472.00
13200	A/R OTHERS		7,776.01
			<u>79,248.01</u>
	TOTAL ACCOUNTS RECEIVABLE		79,248.01
PREPAIDS			
			<u>0.00</u>
	TOTAL PREPAIDS		0.00
			<u>399,701.97</u>
	TOTAL CURRENT ASSETS		399,701.97
PROPERTY AND EQUIPMENT			
15000	FURNITURE & EQUIPMENT		90,078.85
15100	ACCUMULATED DEPRECIATION		(90,078.85)
			<u>0.00</u>
	TOTAL PROPERTY AND EQUIPMENT		0.00
			<u>399,701.97</u>
	TOTAL ASSETS	\$	<u><u>399,701.97</u></u>

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES			
20800	A/P-TNA	\$	1,442.00
20900	A/P-MONTH END		3,687.00
21500	ACCRUED EXPENSES		20,298.00
21600	VOIDED CHECKS		405.17
			<u>25,832.17</u>
	TOTAL CURRENT LIABILITIES		25,832.17
NET ASSETS			
29400	BOD DESIGNATED:LEGAL/INS		40,000.00
30200	WIND-DOWN:AVAILABLE		216,000.00
30400	RELEASED FR LEGAL		58,278.50
30500	UNDESIGNATED		84,719.32
	NET INCOME OF <LOSS> TO DATE		(25,128.02)
			<u>373,869.80</u>
	TOTAL NET ASSETS		373,869.80
			<u>399,701.97</u>
	TOTAL LIABILITIES & NET ASSETS	\$	<u><u>399,701.97</u></u>

UNAUDITED

TNF - TPAPN
Income Statement
For the Five Months Ending November 30, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
Income					
40200 BOARD OF NURSE EXAMI	\$ 71,472.00	\$ 52,083.33	\$ 217,921.00	\$ 260,416.65	(42,495.65)
40600 INTEREST	378.70	583.33	5,375.22	2,916.69	2,458.53
40700 DONATIONS	0.00	41.67	0.00	208.35	(208.35)
40900 VERIFICATIONS	90.00	50.00	270.00	250.00	20.00
41000 SPONSORS	600.00	416.67	1,350.00	2,083.31	(733.31)
41100 NCPS, INC. - SPONSORS	0.00	291.67	2,500.00	1,458.31	1,041.69
41200 PARTIC FEE:RN-BD ORDE	3,500.00	3,083.33	22,850.00	15,416.69	7,433.31
41300 PARTIC FEE:RN/LVN CD	10,109.00	8,333.33	48,950.00	41,666.69	7,283.31
41400 PARTIC FEE:RN-MI	450.00	316.67	2,375.00	1,583.31	791.69
41500 PARTIC FEE:LVN-BD ORD	1,400.00	1,333.33	8,750.00	6,666.69	2,083.31
41700 PARTIC FEE:LVN-MI	200.00	50.00	400.00	250.00	150.00
42500 EMPLOYER W/S-INCOME	0.00	166.67	350.00	833.31	(483.31)
42600 ADVOCATE W/S REG.	0.00	0.00	190.00	0.00	190.00
42900 EXHIBITS	0.00	25.00	0.00	125.00	(125.00)
43200 CARE VIDEO	15.00	5.00	55.00	25.00	30.00
43400 INFORMATION MATERIAL	0.00	4.17	15.00	20.81	(5.81)
43500 EMPLOYER INSERVICE	0.00	12.50	170.00	62.50	107.50
	<u>88,214.70</u>	<u>66,796.67</u>	<u>311,521.22</u>	<u>333,983.31</u>	<u>(22,462.09)</u>
Total Income					
Expenses					
50500 COMPENSATION	43,625.70	37,861.92	172,065.33	189,309.56	(17,244.23)
50800 HLTH/LIFE INSURANCE	3,069.04	3,000.00	14,841.20	15,000.00	(158.80)
50900 W C INSURANCE	0.00	208.33	0.00	1,041.65	(1,041.65)
51000 DENTAL EXP	81.00	291.67	828.00	1,458.35	(630.35)
51500 PAYROLL TAXES	2,641.82	3,655.83	12,850.76	18,279.19	(5,428.43)
51700 401K	2,657.72	2,125.00	9,456.02	10,625.00	(1,168.98)
51900 TEMPS/AGENCIES	4,796.43	0.00	21,396.64	0.00	21,396.64
52000 PROFESSIONAL DEVELOP	400.00	333.33	2,875.00	1,666.69	1,208.31
52500 STAFF TRAV/EXP	95.66	208.33	4,117.26	1,041.69	3,075.57
	<u>57,367.37</u>	<u>47,684.41</u>	<u>238,430.21</u>	<u>238,422.13</u>	<u>8.08</u>
Total					
54500 LEGAL CONSULTANTS	0.60	416.67	1,321.60	2,083.31	(761.71)
54800 DATABASE UPGRADE	0.00	166.67	0.00	833.35	(833.35)
	<u>0.60</u>	<u>583.34</u>	<u>1,321.60</u>	<u>2,916.66</u>	<u>(1,595.06)</u>
Total					
56000 OFFICE SPACE	4,356.00	4,356.25	21,780.00	21,781.25	(1.25)
56200 REIMB FOR STAFF TIME	3,500.00	3,675.00	17,500.00	18,375.00	(875.00)
56400 FINANCIAL AUDIT	0.00	250.00	2,000.00	1,250.00	750.00
56600 BNE PERFORMANCE AUD	0.00	166.67	2,000.00	833.35	1,166.65
56700 MATERIALS-OTH GROUPS	0.00	8.33	0.00	41.65	(41.65)
56800 TELEPHONE	1,016.92	1,250.00	4,824.67	6,250.00	(1,425.33)
56900 EQUIP LEASE	301.00	333.33	1,505.00	1,666.69	(161.69)
57000 POSTAGE	536.65	583.33	3,086.16	2,916.65	169.51
57100 SHIPPING/DELIVERY/	19.72	25.00	62.55	125.00	(62.45)
57200 DUPLICATING	479.60	500.00	2,908.98	2,500.00	408.98
57300 BANK CHARGES	0.00	16.67	88.00	83.35	4.65
57400 SUPPLIES	606.49	416.67	1,869.68	2,083.35	(213.67)
57500 EQUIP/FURNITURE	1,780.00	333.33	3,711.07	1,666.65	2,044.42
57600 DEPRECIATION	0.00	41.67	0.00	208.35	(208.35)
57700 INFORMATION TECHNLO	170.00	500.00	1,943.00	2,500.00	(557.00)
57800 EQUIP MAINTENANCE	0.00	41.67	42.51	208.35	(165.84)
58000 MISCELLANEOUS	39.20	41.67	305.98	208.35	97.63
58100 INSUR/LEGAL DEFENSE F	0.00	375.00	4,382.00	1,875.00	2,507.00

Unaudited

TNF - TPAPN
Income Statement
For the Five Months Ending November 30, 2006

	Current Month Actual	Current Month Budget	Year to Date Actual	Year to Date Budget	Year to Date Variance
58600 RESOURCE LIBRARY	34.75	41.67	133.14	208.35	(75.21)
59600 COMMITTEES & BOARDS	189.00	250.00	690.35	1,250.00	(559.65)
Total	13,029.33	13,206.26	68,833.09	66,031.34	2,801.75
60200 ADVOCATES EXPENSES	0.00	41.67	102.70	208.31	(105.61)
60400 ADVOCATES TRAINING	0.00	20.83	0.00	104.15	(104.15)
60600 FALL W/S - ADVOCATES	41.12	583.33	7,655.54	2,916.69	4,738.85
61000 SPRING W/S - ADVOCATE	0.00	750.00	0.00	3,750.00	(3,750.00)
Total	41.12	1,395.83	7,758.24	6,979.15	779.09
62200 WEBCENTRIC	3,156.80	2,766.67	15,252.80	13,833.31	1,419.49
62300 CASE MANAGERS TRAVE	0.00	20.83	0.00	104.15	(104.15)
62400 PROGRAM MATERIALS	9.70	291.67	4,422.89	1,458.31	2,964.58
Total	3,166.50	3,079.17	19,675.69	15,395.77	4,279.92
63500 EMPLOYER INSERVICE	(132.03)	20.83	0.00	104.19	(104.19)
63800 EMPLOYER WORKSHOPS	(91.78)	125.00	0.00	625.00	(625.00)
64700 NRSNG SCHOOLS WORKSH	0.00	83.33	0.00	416.69	(416.69)
64900 NRSNG SCHOOLS-EDUC M	0.00	41.67	0.00	208.31	(208.31)
Total	(223.81)	270.83	0.00	1,354.19	(1,354.19)
68400 EDUC-MATERIALS/BROC	536.25	250.00	630.41	1,250.00	(619.59)
68500 ED-VIDEOS-PRDCN & RE	0.00	33.33	0.00	166.69	(166.69)
68600 EDUC-NEWSLETTER	0.00	41.67	0.00	208.31	(208.31)
68700 ED PROD-RESEARCH-RES	0.00	25.00	0.00	125.00	(125.00)
68800 EDUC-EXHIBIT	0.00	41.67	0.00	208.31	(208.31)
Total	536.25	391.67	630.41	1,958.31	(1,327.90)
Total Expenses	73,917.36	66,611.51	336,649.24	333,057.55	3,591.69
Net Income or Loss	\$ 14,297.34	\$ 185.16	\$ (25,128.02)	\$ 925.76	(26,053.78)

TEXAS NURSES FOUNDATION
TPAPN FY 2007 BUDGET

Fiscal Year: July - June (Revised '07 Budget Approved 10-20-06)

Approved FY'07 Revised FY'07
2006 - 07 2006 - 07

INCOME - Boards/Contract

402	BNE - RNs/LVNs	625,000	625,000
	TOTAL	625,000	625,000

INCOME - Other

406	Interest	5,000	7,000
407	Donations	500	500
409	Employment verifications	500	600
410	Sponsors	1,500	5,000
411	NCPS, Inc. educational sponsorships	4,000	3,500
412	RN Board Order Participant fee (est. @ \$500 per RN "order")	30,000	37,000
413	RN/LVN CD/Dual Participant fee (est. @ \$12.45/mo/participant x 12)	85,000	100,000
414	RN MI Participant fee (est. @ \$200/yr./participant)	4,000	3,800
415	LVN Board Order fee (est. @ \$350 per LVN "order")	10,000	16,000
417	LVN MI Participant fee (est. @ \$200/yr./participant)	600	600
425	Employer W/S	2,500	2,000
429	Exhibits	0	300
432	Care Video	60	60
434	Information material	500	50
435	Employer inservice	500	150
	TOTAL	144,660	176,560
	TOTAL INCOME	769,660	801,560

ADMINISTRATIVE EXPENSES

	<u>Personnel</u>		
505	Compensation	424,000	454,343
515	Fringe (25% - PR tax, Health/Life Ins., 401 K, Workers Comp.)	104,500	111,370
520	Professional Development (CE, Professional memberships & NOAP)	3,000	4,000
525	Travel	2,000	2,500
	TOTAL	533,500	572,213

	<u>Consultants</u>		
545	Legal Consultation (Legal Action Center/HIPAA)	4,000	5,000
547	Computer Consultation	0	0
548	Database Upgrades	2,000	2,000
	TOTAL	6,000	7,000

	<u>Operating Expenses</u>		
560	Office Space	52,275	52,275
562	Administration/Legal/Financial	44,100	44,100
564	Financial Audit	2,600	3,000
566	BNE Performance Audit	2,000	2,000
567	Materials - Other Groups	100	100
568	Telephone	15,000	15,000
569	Equipment Lease	3,600	4,000
570	Postage	7,000	7,000
571	Shipping/Delivery	300	300
572	Duplicating	6,000	6,000
573	Bank Charges	200	200
574	Supplies	5,000	5,000
575	Equipment/Furniture	4,000	4,000
576	Depreciation	500	500
577	Information Technology	5,000	6,000
578	Equipment Maintenance	500	500
580	Miscellaneous	500	500
581	Insurance/Liability	4,500	4,500
586	Resource Library	500	500
	TOTAL	153,675	155,475

ADMINISTRATIVE EXPENSES - continued

05-06 Approved 06-07 Revised

596	Committee & Board Meetings	2,000	3,000
	TPAPN Advisory Committee		
	Advocate Advisory Committee		
	Subcommittees/Task Forces		
	TNF Board		
	Licensing Boards		
	TOTAL	2,000	3,000
	TOTAL ADMINISTRATIVE EXPENSES	695,175	737,688

PARTICIPANT'S (DIRECT) EXPENSES

	Advocates		
602	Advocate Expenses (travel/phone/duplicating/postage)	700	500
604	Informal Advocate Training	250	250
606	Fall Workshop (2 day)	10,000	7,000
610	Spring Workshop (2 day)	10,000	9,000
	TOTAL	20,950	16,750

	Case Management		
622	Webcentric fee (est. @ \$4.80/mo/participant x 12)	33,000	33,200
623	Case Manager Travel	250	250
624	Program Materials	3,000	3,500
	TOTAL	36,250	36,950
	TOTAL PARTICIPANTS' (DIRECT) EXPENSES	57,200	53,700

EDUCATION

	Employers		
635	Inservice (by TPAPN Staff/Advocates)	500	250
638	Employer Workshops (Six formal/year)	1,500	1,500
	TOTAL	2,000	1,750

	Nursing Schools		
647	Workshops	2,000	1,000
649	Educational Materials	1,000	500
	TOTAL	3,000	1,500

	Educational Products		
684	Educational Materials/Brochures	4,000	3,000
685	Videos Purchase/Production/Re-order	1,000	400
686	Newsletter	1,500	500
687	Research Outcomes/Publication	500	300
688	Exhibit Fees	1,500	500
	TOTAL	8,500	4,700
	TOTAL EDUCATIONAL EXPENSES	13,500	7,950

	TOTAL EXPENSE	765,875	799,338
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	INCREASE/(DECREASE) TO NET ASSETS	3,785	2,222
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**Explanatory Notes – Revised FY '07 TPAPN Budget
9-06-06**

Note: Only line items revised since the original FY '07 budget are included here

IncomeOther

- 406 Interest** – Increased based on FY '06
- 410 Sponsors** – Increased based on FY '06
- 411 NCPS sponsorships** – Decreased based on FY '06 and expected amt.
- 412 RN Board Order Fee** – Increased based on FY '06
- 413 RN /LVN CD/Dual Participant Fee** – Increased based on FY '06
- 414 RN MI Participant Fee** – Decreased based on FY '06
- 415 LVN Board Order Fee** – Increased based on FY '06
- 416 LVN MI Participant Fee** – Unchanged
- 425 Employer workshops** – Decreased based on FY '06
- 429 Exhibits** – Included based on FY '06
- 434 Information materials** – Reduced based on FY '06
- 435 Employer inservices** – Reduced based on FY '06

ExpensesAdministrative

Personnel

- 505 Compensation** – Increased to reflect additional case manager at 32 hrs; reduction of 3rd support staff to half-time data entry clerk and to allow for some planned increases (promotional/merit) for support staff. The feasibility of providing market increases at the beginning of FY '08 will be evaluated towards the end of the FY '07 year.
- 515 Fringe** – Reflects 25% of compensation for 10 FTEs and 10% of part-time data entry clerk (20 hrs/week). All benefits are included in line item: payroll taxes, workmen's comp., health insurance, 401-K, and dental. \$2,000 added to cover the possible additional cost of staffing agencies.
- 520 Professional Development** – Increased for new case manager and to permit some professional staff to attend joint NOAP/IntNSA conferences
- 525 Travel** – Increased per for conference attendance.

Consultants

- 545 Legal Consultation** – Increased to provide for legal expertise regarding program risk and HIP AA assessment.
- 569 Equipment Lease** – Increased due to cost of digital phone upgrade
- 577 Information Technology** – Increased per historical trending of costs

(Continued next page)

Explanatory Notes – Revised FY '07 TPAPN Budget

Operating

596 Committee and Board Meetings – Increased based on FY '06 and likelihood of continuation of task force meetings/teleconferences.

Participant' Direct Expenses

Advocates

602 Advocate Expenses – Decreased per FY '06 actual

606 Fall Workshop (2 day) – Reduced based on Spring '06 workshop

610 Spring Workshop (2 day) – Reduced but greater than Fall expenses due to 2007 being TPAPN's 20th Anniversary 'celebration

Case Management

622 Webcentric Fee – Increased to reflect correct calculation

624 Program Materials – Increased for new Handbooks (Participant, Forms, Employer, Education)

Education

Employers

635 Inservice (by TPAPN Staff/Advocates) – Reduced to more accurately reflect costs

Nursing Schools

647 Workshop – Decreased based on projected activity for FY '06

Educational Materials – Decreased based on FY '06

Educational Products

684 Educational Materials/Brochures – Decreased to anticipate likely cost

685 Videos – Decreased per likely expenditure

686 Newsletter – Increased to reflect more extensive/targeted mail-outs

688 Exhibit Fees – Decreased per projected activity